

PATENT Attorney Docket No.: 9698-2 US2 (157459)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

Patent application of

Kent Massey

RECEIVED

FEB 1 3 2002

Group Art Unit:

2613

Technology Center 2600

Serial No.:

10/003,187

Filed:

October 29, 2001

Examiner:

Not Yet Assigned

For:

Method And Apparatus For Presenting

A Digital Video Work Customized

To Viewer Preferences

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, submitted herewith are copies of the references listed below and on the accompanying Form PTO-1449.

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

I hereby certify that this paper, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date indicated below, with sufficient postage, as first class mail, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

BY

DATE:

ale

REMARKS

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. Accordingly, no certification, petition, or fee is believed to be required. However, if a fee is due, please charge, or credit any overcharge to, Deposit Account No. 50-0573.

The Examiner is respectfully requested to review the references identified herein and make them of record in the instant application as required by M.P.E.P. §609. It is also requested that the Examiner initial the enclosed duplicate substitute Form 1449, and return one copy to Applicants' undersigned representative. The references listed in this IDS comprise the most pertinent prior art known to applicant and its attorneys as of the date hereof. This IDS should not be construed as a representation that the cited references are material or that no better art exists.

UNITED STATES PATENTS

Respectfully submitted,

By:

JOHNC. DONCH, JR.

Registration No. 43,593
DRINKER BIDDLE & REATH LP

One Logan Square 18th & Cherry Streets

Philadelphia, PA 19103-6996

Tel: (215) 988-3309 Fax: (215) 988-2757 Attorney for Applicant

SUBSTITUTE	FORM	PTO-144
٠		

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 9698-2 US2

SERIAL NO. 10/003,187

RECEIVED

INFORMATION DISCLOSURE CITATION

APPLICANT: Kent Massey

FEB 1 3 2002 Technology Center 2600

GROUP FILING DATE 2613 October 29, 2001

 			D00	Y 13 / TO 3.1	TC
11 6	DΛ	TENT	111111	I HVI PAN	1.3
\mathbf{v}	1.5	T T TOTAL T	200	01,122	

	U.S. PATENT DOCUMENTS										
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)				
	AA	D414,173	9/21/99	Amron, Alan	D14	124	9/4/98				
	AB	D414,174	9/21/99	Amron, Alan	D14	124	9/4/98				
	AC	D414,175	9/21/99	Amron, Alan	D14	124	9/4/98				
	AD	D414,176	9/21/99	Amron, Alan	D14	124	9/4/98				
	AE	D416,249	11/9/99	Amron, Alan	D14	124	10/29/98				
	AF	D416,889	11/23/99	Amron, Alan	D14	124	9/4/98				
	AG	D418,503	1/4/00	Amron, Alan	D14	124	9/8/98				
	AH	D418,829	1/11/00	Amron, Alan	D14	124	9/8/98				
	AI	D419,153	1/18/00	Amron, Alan	D14	124	9/8/98				
	AJ	D419,986	2/1/00	Amron, Alan	D14	124	9/8/98				
	AK	D419,987	2/1/00	Amron, Alan	D14	124	9/8/98				
	AL	D420,662	2/15/00	Amron, Alan	D14	124	9/8/98				
	AM		3/28/00	Amron, Alan	D14	124	9/8/98				
	AN	4,264,924	4/28/81	Freeman, Michael J.	358	86	8/13/79				
	AO	4,264,925	4/28/81	Freeman, et al.	358	86	8/13/79				
	AP	4,305,131	12/8/81	Best, Robert M.	364	521	3/31/80				

FOREIGN PATENT DOCUMENTS

			FUKI	SIGN PATENT DOCUM			T	
	, .	DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
		NO				Ţ	1	
į	AQ					 -		
	AR					in and Proper	Eta)	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

· · · · · · · · · · · · · · · · · · ·	OTHER DOCUMENTS (Including Flathor)	
AS		
AT		
EXAMINER	DATE CONS	IDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation

٠					·			Sheet 2 of 4		
SUBSTITUTE	FORM P	TO-1449	U.S. DEPARTMI PATENT AND T	ENT OF COMMERCE RADEMARK OFFICE	ATTY. DOCKET NO 9698-2 US2).	SERIAL NO. 10/003,1	87		
INFO	RMA	TION DISC	LOSURE C	ITATION		<u>.</u>	RECEIVED			
	OIP			APPLICANT:			FEB 1 3 2002			
<i>(</i> :	FEB 1 1	2002		•	Kent Massey		Technolo	Technology Center 2600		
PATE	TA TRAD	EMIRACIA			FILING DATE October 29, 2	001	GROUP 2613			
			U.S	S. PATENT DO	CUMENTS			<u> </u>		
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	N.	AME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE		
	BA	4,333,152	6/1/82	Best, Robert M.		364	521	6/13/80		
	ВВ	4,445,187	4/24/84	Best, Robert M.		364	521	5/13/82		
	вс	4,569,026	2/4/86	Best, Robert M.		364	521	10/13/84		
	BD	4,591,248	5/27/86	Freeman, Michae	el J.	352	133	4/27/83		
	BE	4,846,693	7/11/89	Baer, Ralph H.		434	308	12/1/88		
	BF	5,161,034	11/3/92	Klappert, Walter	R.	358	342	7/18/89		
	BG	5,393,072	2/28/95	Best, Robert M.		273	434	5/19/93		
	BH	5,465,384	11/7/95	Bejan, et al.		455	2	11/25/92		
	BI	5,604,855	2/18/97	Crawford, Chris	topher C.	395	173	9/28/94		
	ВЈ	5,607,356	3/4/97	Schwartz, David	l M.	463	31	5/10/95		
,	BK	5,611,694	3/18/97	Bromley, Eric	,	434	311	6/6/95		
	BL	5,634,849	6/3/97	Abecassis, Max		463	30	4/12/95		
	BM	5,679,075	10/21/97	Forrest, et al.		463	9	11/6/95		
	BN	5,708,846	1/13/98	Ryan, Lawrence	e D.	395	827	2/24/95		
	ВО	5,737,527	4/7/98	Shiels, et al.		395	200.09	8/29/96		

FOREIGN PATENT DOCUMENTS

Shiels, et al.

5/12/98

5,751,953

BP

			TOIG	110111111				ļ	Ĺ
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO	
<u> </u>		NO.	 		i				ľ
	BQ				ļ ·			 	١
Ţ	BR				Ì			<u></u>	
- .	DK.			Till Till	D	in ant Dagge	Etc.)		

8/29/96

395

200.09

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation is not conformance and not considered. Include convertible form with part communication to applicant.

	4			D.C.	
[:	SUBSTITUTE FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 9698-2 US2	SERIAL NO. 10/003,187	
	· · · · · · · · · · · · · · · · · · ·	SCLOSURE CITATION		RECEIVED	
	INFORMATION	, • •	APPLICANT:	FEB 1 3 2002	
	OIP E ich		Kent Massey	Technology Center 2	6 00
	FEB 1 1 2002 E		FILING DATE October 29, 2001	GROUP 2613	
	P. C. S.	U.S. PATENT DO		FILING DAT	 E

\2.		SEC.				October 25				
SHOP	THAT !	ARL			U.S.	PATENT DOCUMENTS		ASS S	SUBCLASS	FILING DATE
		DOC	UMENT	DATE		NAME	CL	ASS		(IF APPROPRIATE)
XAMINER NITIAL		NUM	IBER				39	5	200.09	8/29/96
	CA	5,7	54,770	5/19/9		Shiels, et al.		34	157	1/26/94
	СВ	5,8	10,599	9/22/9	98	Bishop, Stanley Alden		63	40	7/8/96
	CC	5,8	327,120	10/27	7/98	Kikuchi, et al.		169	33	4/14/97
	CD	T	841,741	11/2	4/98	Freeman, Michael J.		463	9	8/29/96
	CE		848,934	12/1	5/98	Shiels, et al.			1	12/16/96
	CF		,855,512	1/5/	99	Aoyama, et al.		463	43	9/15/94
	CC	\top	,882,262	3/1	6/99	Ballhorn, Karsten		463	501	11/5/97
	CI		5,915,256	6/2	2/99	Rogers, et al.		707	302	11/21/97
	C	$\neg \neg$	5,999,172		17/99	Roach, Richard Gregory		345	38	9/24/96
		$\neg \uparrow$	6,001,015	1	/14/99	Nishiumi, et al.		463		4/23/97
	- 0		6,007,428	. I	23/97	Nishiumi, et al.		463	36	11/22/95
		CL CL	6,022,27	١.	/8/00	Takeda, et al.		463	44	3/26/98
	_		6,101,48	1	3/8/00	Fortenberry, et al.		705	27	7/1/99
		CM CM	6,102,80	1	8/15/00	Takeda, et al.		463	38	6/16/97
		CN	6,106,3		8/22/0	1		463	42	
-		CO		-		Miyamoto, et al.		463	32	6/5/97
		CP	6,139,4	33	10/21/	OREIGN PATENT DOCU	MENTS	<u> </u>	22 4 12 0	TRANSLATION

		CP	6,139,433	10/31/00	Miyamoto, et al.	MENTS			
L			1-7	FORE	EIGN PATENT DOCUM	CLASS	SUBCLASS	TRANSLATION	NO
٦			DOCUMENT	DATE	COUNTRY	CLASS	 	YES	
			NO.						+
1		cQ			+				
		CR		TO NITTE	S (Including Author, Title	, Date, Per	rtinent Pages,	Etc.)	
	لـــــــــــــــــــــــــــــــــــــ		OTHER DO	COMPUT.	S (Incham.	_			1

_	CR	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
1	CS	
1	CT	DATE CONSIDERED
	EXAMINER	whether or not citation is in conformance with MPEP 609; Draw line through citation

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation of not in conformance and not considered. Include conv of this form with next communication to annicant

SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION					ATTY. DOCKET NO. 9698-2 US2 APPLICANT: Kent Massey			SERIAL NO. 10/003,187		
								RECEIVE FEB 1 3 200		
FEB 1 1 2002					FILING DATE October 29, 2001			GROUP 2613		
- 10	& TRADEN	/k	U.	S. PATENT DO	CUMENT	<u>s</u>				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	N.	AME		CLASS	SUBCLA	SS FILING DATE (IF APPROPRIATE)	
	DA	6,139,434	10/31/00	Miyamoto, et al.			463	32	12/10/99	
	DB	6,155,926	12/5/00	Miyamoto, et al.			463	32	5/16/97	
	DC	6,186,896	2/13/01	Takeda, et al.			463	38	5/20/98	
	DD	6,200,253	3/13/01	Nishiumi, et al.			563	47	2/16/99	
	DE	6,213,871	4/10/01	Yokoi, Akihiro			463	7	2/19/97	
	DF	6,222,925	4/24/01	Shiels, et al.	<u> </u>		380	211	11/12/99	
	DG	6,227,966	5/8/01	Yokoi, Akihiro			463	1	8/12/97	
	DH	6,241,611	6/5/01	Takeda, et al.			463	38	7/1/99	
	DI									
	DJ	<u> </u>								
	DK									
	DL		·		· · · · · · · · · · · · · · · · · · ·					
	DM									
	DN							-		
	DO	·		· · · · · · · · · · · · · · · · · · ·				-		
	DP		<u> </u>	Day Chi Di Campin	r DOCT IN	TENTS				
			FO:	REIGN PATEN	•				TANGI ATION	
		DOCUMENT NO.	DATE		COUNTRY	CLASS	SUBC		TRANSLATION NO	
	DQ			-						
	DR	OTHER D	OCUMEN	rs (Including Aut	hor, Title, .	Date, Pe	ertinent .	Pages, E	tc.)	
	De	OTHER D	OCOLINES.							
	DS									
-	DT				DATE CON	SIDERED				

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include conv. of this form with next communication to applicant